

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attention: OFFICE OF PUBLICATIONSIn re PATENT APPLICATION ofInventor(s): DRIESSEN et al.Appln. No.: 09989,700

Atty. Dkt.

P 284123P-0218.010-USSeries Code ↑Serial No. ↑M#Client Ref

Filed: November 21, 2002

Title: LITHOGRAPHIC APPARATUS, DEVICE
MANUFACTURING METHOD, AND DEVICE
MANUFACTURED THEREBY

Date: February 20, 2002

FILING OF FORMAL DRAWING(S)Hon. Commissioner of Patents
Washington, D.C. 20231

Sir:

- Please accept the herewith 15 sheet(s)
- of formal drawing(s) on ☒ A4 ☐ 11" size paper
- of Figure(s) 1-16
- of which Figure(s) is/are **black and white photographic drawings** (DO NOT use this form for photographic drawings in color (see PAT-280).
- ☒ which is/are in lieu of the informal drawing(s) filed earlier.
- ☐ which include the corrections required/approved by the Draftsperson/Examiner in the
☐ Office Action dated or ☐ Notice of Allowability (PTO-37) dated .

NOTE

- PTO waived requirement for 3 sets of B/W photos June 9, 1998, 1211 OG 34;
- PTO waived requirement for petition and petition fee for B/W photos August 4, 1998, 1213 OG 108.
- Petition and fee are still required for photographs which are in color. See Rule 84(a)(2).

A,B,C were confirmed in 9/8/00 Rule 84 changes**EXTENSIONS NOT AVAILABLE Re NOTICES OF ALLOWABILITY ISSUED ON/AFTER 11/7/00**

		Large/Small Entity	Fee Code
7. Original due date:	<input checked="" type="checkbox"/> NONE		
8. Petition is hereby made to extend the original due date to cover	(1 mo)	\$110/\$55 =	115/215
The date this response is filed for which the requisite fee is attached	(2 mos)	\$400/\$200 =	116/216
	(3 mos)	\$920/\$460 =	117/217
9.	TOTAL FEE ENCLOSED		\$0

(Our Deposit Account No. 03-3975)

(Our Order No. 81468)**C#**284123**M#**

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached. This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

**Pillsbury Winthrop LLP
Intellectual Property Group**1600 Tysons Boulevard
McLean, VA 22102
Tel: (703) 905-2000By Atty: Robert C. PerezReg. No. 39,328

Atty/Sec: RP/rsp

Sig: 

Fax: (703) 905-2500

Tel: (703) 905-2159

NOTE: File this cover sheet in duplicate with PTO receipt (PAT-103A) and attachments

Sector
Page 1 of 2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)
For Design, Provisional, or Utility Applications

PATENT
APPLICATION

COMPLETION Under
Rule 53(f)

In re PATENT APPLICATION of

Inventor(s): Johannes Cornelis DRIESSEN et al.

Appln. No.: 09 989,700
Series Code ↑ Serial No. ↑

Attn: Application Division
Atty.Dkt. P 284123 M# P-0218.010-US Client Ref

Filed: November 21, 2001

Title: LITHOGRAPHIC APPARATUS, DEVICE MANUFACTURING METHOD
AND DEVICE MANUFACTURED THEREBY

Hon. Commisioner of Patents
Washington,DC 20231

Date: February 20, 2002

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☒ Original ☐ Facsimile/Copy

(Always "X" box 2 if filling signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or

"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
a. ☐ Abstract
b. # pages of Specification(only spec. & claims)
c. ☐ Drawing(s)
☐ Fig(s).
No of Sheets 02/20/2002 BABRAMA1 00000035 07563700
01-FIG-115 110.00 UP

4. ☒ Letter filing formal drawing attached.
5. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

7. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in EUROPE

Application No.	Filing Date	Application No.	Filing Date
(1) 00310637.4	30 November 2000	(2)	
(3)		(4)	
(5)		(6)	

Completion Under Rule 53(f)

9. _____ (No.) Certified copy (copies): ☐ attached; ☒ previously filed (date) November 21, 2001
in U.S. Application No. 09/989,700 filed on November 21, 2001
10. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☐ Attached:
12. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
13. Basic Filing Fee				Design Application	\$330/\$165	106/26
				Not Design Application	\$740/\$370	101/201
14. Total Effective Claims	11	minus 20 =	0	x \$18/\$9	+0	103/203
15. Independent Claims	3	minus 3 =	0	x \$84/\$42	+0	102/202
16. If <u>any proper</u> multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$280/\$140	+0	104/204
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+130	105/205
18.				FILING FEE ENCLOSED = \$870		
19. Original due date:				February 4, 2002		
20. Petition is hereby made to extend the <u>original</u> due date to				(1 mo)	\$110/\$55 =	115/215
cover the date this response is filed for which the requisite fee				(2mos)	\$400/\$200 =	116/216
is attached				(3mos)	\$920/\$460 =	117/217
				(4mos)	\$1,440/\$720 =	118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee.				\$40	+40	581
23. Petition Fee for				\$130	+0	
24.				TOTAL FEE ENCLOSED = \$1020		

Our Deposit Account No. 03-3975

Our Order No. 81468

284123

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

1600 Tysons Boulevard
 McLean, VA 22102
 Tel: (703) 905-2000

By Atty: Robert C. PerezReg. No. 39,328Sig: 

Fax: (703) 905-2500
 Tel: (703) 905-2159

Atty/Sec: RP/rsp

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER

09/989,700

FILING/RECEIPT DATE

11/21/2001

FIRST NAMED APPLICANT

Johannes Cornelis Driessen

ATTORNEY DOCKET NUMBER

P 284123 P-0218.010-US

CONFIRMATION NO. 9594

FORMALITIES LETTER



OC00000007152694

89909
PILLSBURY WINTHROP LLP
1600 TYSONS BOULEVARD
MCLEAN, VA 22102

Date Mailed: 12/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/989700 00000038 09989700

740.00 OP
130.00 OP



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/989,700	11/21/2001	Johannes Cornelis Driessen	P 284123 P-0218.010-US

00909
PILLSBURY WINTHROP LLP
1600 TYSONS BOULEVARD
MCLEAN, VA 22102

CONFIRMATION NO. 9594

FORMALITIES LETTER



OC000000007152694

Date Mailed: 12/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY